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FORM PTO-1449 U.S. DEPT. OF COMMERCE
(MODIFIED) PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
53087-5006SERIAL NO.
09/841,731INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

(37 C.F.R. § 1.98(b))

APPLICANT
Gunter, et al.FILING DATE
April 25, 2001

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RB	A	6	2	1	6	1	1	6	04/10/01	Barkan, et al.	705	76	
	B	5	6	5	9	6	1	6	08/19/97	Sudia	380	23	
	C	5	9	0	3	8	8	2	05/11/99	Asay et al.	705	44	
	D	5	9	4	3	4	2	3	08/24/99	Muftic	380	25	
	E	5	9	7	8	4	8	4	11/02/99	Apperson et al.	380	25	
	F	5	9	6	0	0	8	5	09/28/99	De la Hueraga	380	25	
	G	6	0	0	3	0	1	4	12/14/99	Lee et al.	705	13	
	H	5	9	0	1	2	8	4	05/04/99	Hamdy-Swink	395	186	
	I	5	8	7	2	8	4	8	02/16/99	Romney et al.	380	25	
RB	J	5	7	8	4	4	6	3	07/21/98	Chen et al.	380	21	
	K	5	7	6	1	3	0	9	06/02/98	Ohashi et al.	380	25	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

RB	L	Blaze, et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp. 1-32.
	M	Gunter, et al., "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience, September 21, 2000, Vol. 30, pp. 1609-1640.
RB	N	Gunter, et al., "GENERALIZED CERTIFICATE REVOCATION", ACM Symposium On Principles Of Programming Languages, January 2000, pp. 1-14.

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Page 1 of 5



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U.S. PATENT DOCUMENTS

EXAMINER INITIALS		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>[Signature]</i>	O	5	7	5	7	9	2	0	05/26/98	Misra et al.	380	25	
	P	5	7	5	4	6	5	4	05/19/98	Hiroya et al.	380	24	
	Q	5	6	9	4	4	7	1	12/02/97	Chen et al.	380	25	
	R	5	4	1	2	7	1	7	05/02/95	Fischer	380	4	
	S	5	3	3	9	4	0	3	08/16/94	Parker	395	425	
	T	5	2	6	1	0	0	2	11/09/93	Perlman et al.	380	30	
	U	5	3	1	5	6	5	7	05/24/94	Abadi et al.	380	25	
	V	5	2	1	4	7	0	2	05/25/93	Fischer	380	30	
	W	4	8	6	8	8	7	7	09/19/89	Fischer	380	25	
	X	4	8	1	6	6	5	5	03/28/89	Musyck et al.	235	380	
	Y	5	6	1	5	2	6	8	03/25/97	Bisbee et al.	380	25	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

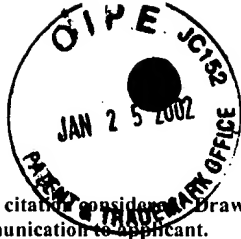
		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>[Signature]</i>	Z	Kakkar, et al., "CERTIFICATE DISTRIBUTION WITH LOCAL AUTONOMY", International Workshop on Active Networks, October 2000, pp. 1-19.
	AA	Blaze, et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May 1996, pp. 1-23
<i>[Signature]</i>	BB	Herzberg, et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp. 1-13.

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U.S. PATENT DOCUMENTS

EXAMINE R INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SP</i>	CC	5	5	4	2	0	4	6	07/30/96	Carlson et al.	395	186	
<i>SP</i>	DD	5	4	7	5	7	5	8	12/12/95	Kikuchi	380	25	
<i>SP</i>	EE	5	4	5	5	9	5	3	10/03/95	Russell	395	739	
<i>SP</i>	FF	5	4	1	2	7	2	7	05/02/95	Drexler et al.	380	24	
<i>SP</i>	GG	6	1	3	8	2	3	5	10/24/00	Lipkin, et al.	713	155	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

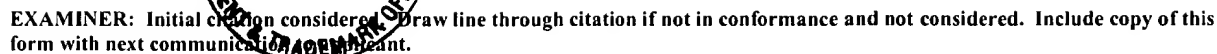
		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>SP</i>	HH	Rivest, et al., "SDSI - A SIMPLE DISTRIBUTED SECURITY INFRASTRUCTURE", April 30, 1996, pp. 1-37.
<i>SP</i>	II	C. Ellison, "SPKI REQUIREMENTS", The Internet Society, September 1999, pp. 1-14.
<i>SP</i>	JJ	Ellison, et al., "SPKI CERTIFICATE THEORY", The Internet Society, September 1999, pp. 1-43.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	1
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Complete if Known

Application Number	09/841,731
Filing Date	04/25/01
First Named Inventor	Gunter
Group Art Unit	2131
Examiner Name	
Attorney Docket Number	53087-5006

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
<i>DTB</i>	<i>A</i>	<i>Microsoft .Net Passport Review Guide, "THE WAY, WHAT AND HOW OF MICROSOFT .NET PASSPORT", Published: March 2002, pp. 1-50.</i>	
<i>DTB</i>		<i>.Net Passport OVERVIEW: 13/ http://www.microsoft.com/my services/passport/overview.asp, pp. 1-7 21/ http://www.microsoft.com/my services/passport/overview.asp, p. 1</i>	

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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C.F.R. § 1.98(b))

ATTY. DOCKET NO.
053087-5006

SERIAL NO.
09/841,731

APPLICANT
Gunter et al.

FILING DATE
April 25, 2001

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U.S. PATENT DOCUMENTS

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<i>[Signature]</i>	A	6	2	1	2	6	3	4	04/03/01	Greer, Jr et al			
	B	5	5	3	0	2	3	5	06/25/96	Stefik et al.			
	C	6	4	4	6	2	5	3	09/03/02	Mellmer			
	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			
	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
	G	5	5	7	7	1	2	0	11/19/96	Penzias			
	H	5	2	2	0	6	0	4	06/15/93	Gasser et al.			
	I	6	2	8	2	1	8	3	08/28/01	Harris et al.			
	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
<i>[Signature]</i>	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>[Signature]</i>	M	Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.

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Application Number	09/841,731
Filing Date	April 25, 2001
First Named Inventor	Gunter et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	053087-5006

APR 22 2007

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(use as many sheets as necessary)

Sheet	1	of	1
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U.S. PATENT DOCUMENTS				
Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
R/D	6,411,605	B1	Vance et al.	06/25/02
	5,872,841		King et al.	02/16/99
	6,577,949	B1	Robinson et al.	06/10/03
	6,567,075	B1	Baker et al.	05/20/03
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	6,523,012		Glassman	02/2003
	6,061,448		Smith	05/2000
	6,651,166		Smith	11/2003
	6,430,688		Kohl	08/2002
	6,347,373		Hoepman	02/2002
	6,343,361		Nendell	01/2002
	6,256,733		Thakkar	07/2001
	6,285,991		Powar	09/2001
2002/0162019	A1	Berry	10/2002	

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Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ₁
	Country Code	Number	Kind Code (if known)			

[illegible]

Reuben B. Bump

Date
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